

Form No.

057

DEMAT REGISTRATION FORM

rajgul

Leading Growth

RAJGUL SECURITIES PVT. LTD.

MEMBER : NSE, BSE, USE, DSE, CDSL

NSE SEBI Regn. No. : (CM) INB 231302739
NSE SEBI Regn. No. : (F&O) INF 231302739
BSE SEBI Regn. No. : (CM) INB 011302735
BSE SEBI Regn. No. : (F&O) INF 011302735
NSE SEBI Regn. No. : (CDS) INE 231302739
USE SEBI Regn. No. : (CDS) INE 271302734
DSE SEBI Regn. No. : (CM) INB 051302736
CDSL SEBI Regn. No.: IN-DP-CDSL-609-2011
CDSL : DP ID 12069800

Regd. Office : 475-475, Aggarwal Millennium Tower-II,
Netaji Subhash Place, Pitampura, Delhi-110034
Phone : 91-11-47666333 Fax : 01-11-47092805
E-mail : askus@rajgul.com • Website : www.rajgul.com

Client Name : _____

Client ID : _____

PLEASE READ THE INSTRUCTIONS BEFORE FILLING THE FORM

- Please fill up all information in capital Letters.
- Please ensure that all the columns of demat registration form are duly filled and no box or space is left blank. Please strike off whichever is not applicable.
- Please write your Name & DOB as it appears on PAN Card.
- Please countersign on any overwriting/correction/cancellation.
- Pin Code is compulsory in address details.
- E-mail address is compulsory in the form.
- please affix full sign
- Please note that the names and Signature of the account holders should be exactly in the same order as mentioned on the certificates to be dematerialized.
- Please note that the thumb impression and signature other than English or Hindi or any of the languages not contained in the 8th schedule of constitution of India, must be attested by a Magistrate or a Notary Public or a special Executive Magistrate.
- Please ensure all documents/supporting are self attested and is verifies by our officials after comparing the same with original.
- Please provide a copy of cancelled cheque for MICR verification (mandatory).
- Please make upfront payment of AMC & advance as per scheme opted.
- Please note that all communications shall be sent at the address of FIRST HOLDER only.
- Copy of PAN Card shall be clearly visible and self attested.
- To help us serve better, your Telephone No./Mobile No. should be provided including relevant STD/ISD code.

ALL OF THE FOLLOWING DOCUMENTS ARE REQUIRED (COMPULSARY) ALONG WITH THE DEMAT REGISTRATION INDIVIDUAL/NON INDIVIDUAL FORM

FOR INDIVIDUAL

1. One latest colored photographs signed by the client.
2. Proof of identity showing details such as number, date & place of issue, date of validity and photograph (any one of the following)
 - a. ☐ PAN Card b. ☐ Driving License
 - c. ☐ Voter ID
 - d. ☐ Passport copy (pages containing name, address, photo and validity)
3. Address proof for both the correspondence & permanent address (any of the following):
 - a. ☐ Voter ID b. ☐ Ration Card
 - c. ☐ Driving License
 - d. ☐ Passport copy (pages containing name, address, photo and validity)
 - e. ☐ Photocopy of bank passbook/bank statement (not older than six months and should be attested by the bank with sign & stamp)
 - f. ☐ Electricity/telephone/water bill (not older than two months), only of fixed line (MTNL & BSNL)
 - g. ☐ Self declaration by High Court/Supreme Court judges, giving the new address in respect of their own accounts.
 - h. ☐ Photo Identity Card or document with applicant's photo/address issued by***
 - ☐ Central Govt./State Govt. and its departments
 - ☐ Statutory Authorizes/Regulatory Authorities
 - ☐ Public Sector Undertakings
 - ☐ Public Financial Institutions
 - ☐ Scheduled Commercial Banks
 - ☐ Colleges Affiliated to Universities
 - ☐ Professional Bodies such as ICAI, ICWAI, ICSI, bar council etc. to their members

***Can be taken as additional proof of address.

4. Proof of Bank Account:

Cancel Cheque with the client's name printed on it. In case client's name is not printed on the cheque, a copy of Bank statement/Pass-book with the latest entries should be enclosed.

FOR NRI ACCOUNTS

1. PAN Card
2. Indian address and foreign address proof
3. Copy of passport (all pages)
4. Bank verification letter indicating type of A/C as NRI/NRO/NRE
5. In case of place of birth is not India, proof of applicant being persons of Indian origin is required.
6. Please provide a copy of the permission letter from RBI/an authorized dealer in case of NRI account.

FOR COMPANY ACCOUNTS

1. Copy of PAN card of the company
2. Details of Directors (Includes address proof & identity proof. All supporting documents for Directors are as per individual requirement)
3. A passport size photograph of the director/authorised official.
4. Name of Authorised Signatory (ies), Designation, Photograph and their signatures.
5. Certified copy of Board resolution as per attached format.
6. Proof of address evidenced by documents registered with registering authority or bank statement or agreement for sale or leave and license agreement or acknowledged copy of Income Tax Return.
7. Certified True copy of the Memorandum & Articles of association is required with Company Rubber Stamp and Director Signature.
8. Form 32 required in case the directors name is not present in the MOA
9. Bank proof of Corporate entity
10. Company stamp required to be affixed wherever the director signs on the agreement/KYC

FOR HUF ACCOUNTS

1. All documents as per "Individual".
2. Karta's signature to be accompanied with the HUF stamp wherever signature are required on the demat registration form.
3. PAN Card of HUF
4. HUF Family Declaration
5. HUF NOC Declaration by family members/coparceners.

PAN CARD IS MANDATORY



RAJGUL SECURITIES PRIVATE LIMITED

DP : Central Depository Services (India) Ltd.

SEBI Regn. No. IN-DP-CDSL-609-2011

CDSL DP ID 12069800

Regd. Off. : 474-475, Aggarwal Millennium Tower-II, Netaji Subhash Place,

Pitampura, Delhi-110034 Ph. : 91-11-47666333 Fax : 91-11-47092805

E-mail : askus@rajgul.com Website : www.rajgul.com



APPLICATION FORM FOR OPENING A DEMAT ACCOUNT INDIVIDUAL / NON - INDIVIDUAL

Application Number																Date	D	D	M	M	Y	Y	Y	Y
DP Internal Reference No.																Client ID								
DP ID	1	2	0	6	9	8	0	0																

TYPE OF ACCOUNT (Please tick whichever is applicable)

☐ Individual	☐ Corporate	☐ HUF	☐ Partnership Firm	☐ Clearing Member	☐ NRI
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IN-PERSON VERIFICATION

Verification Date			Signature	1.	
Employee Name				2.	
Employee Signature				3.	
Location					

(To be filled by the Applicant in BLOCK LETTES in English, Preferably in Blank Ink)
I/We request you to open a Demat Account in my/our name as per the following details :

FIRST / SOLE HOLDER'S DETAILS

Title	☐ Mr.		☐ Mrs.		☐ Ms.		☐ M/s.		☐ Others.....																					
Name of Individual / Constituent																														
Father / Husband Name	Mr.																													
Date of Birth/Incorporation	D	D	M	M	Y	Y	Y	Y	Date of Commencement	D	D	M	M	Y	Y	Y	Y													
Gender	☐ Male				☐ Female				Marital Status	☐ Married				☐ Single																
PAN No.											Nationality	☐ Indian				☐ Others				☐ Pls specify.....										
Correspondence Address																														
City											Pin Code																			
State											Country																			
Telephone No.											Fax No.																			
Mobile											SMS Facility	☐ Yes				☐ No														
E-mail ID																														
Permanent / Registered Office Address																														
City																														
State											Country																			
Telephone No.											Fax No.																			
Registration Issuing Authority	Registration No.										Registration Date										Expiry Date									
SEBI (If Applicable)																														
ROC (If Applicable)																														
RBI (If Applicable)																														
IRDA (If Applicable)																														
Any Other Regulator																														
Name	Registration No.										Registration Date										Expiry Date									

Financial Status (Income Range Per Annum)	☐ Details Up to ₹2,00,000	☐ ₹2,00,001 to ₹3,00,000		
	☐ ₹3,00,001 to ₹5,00,000	☐ More than ₹5,00,000		
Occupation	☐ Service	☐ Professional	☐ Business	☐ Student
	☐ Retired	☐ Housewife	☐ Others (Specify).....	
Nature of Business (Product/Service Provided)				

JOINT HOLDERS - SECOND HOLDER'S DETAILS

[illegible]

JOINT HOLDERS - THIRD HOLDER'S DETAILS

Title																														
First Name																														
Middle Name																														
Last Name																														
Father / Husband Name																														
Permanent Address																														
City																					Pin Code									
State																					Country									
Telephone No.																					Fax No.									
PAN																					Mobile									
E-mail ID																														
Date of Birth											Nationality										☐ Indian ☐ Others ☐ Pls specify.....									
Occupation	☐ Service ☐ Professional ☐ Business ☐ Student										☐ Retired ☐ Housewife ☐ Others (Specify).....																			
Nature of Business (Product/Service Provided)																														

BANK DETAILS (Dividend Bank Detail)

Bank Name																									
Branch																									
Bank Code (9-digit MICR Code*)													IFSC Code												
City													Pin Code												
State													Country												
Account No.																									
Account Type																									

(i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or) (ii) Photocopy of the Bank Statement having name and address of the BO and not more than 4 months old, (or) (iii) Photocopy of the Passbook having name and address of the BO, (or) (iv) Letter from the Bank.
 * In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present/mentioned on the document and it should be self-certified by the BO.

I/We instruct the DP to receive each and every credit in my/our account (Automatic Credit)	☐ Yes	☐ No		
Do you wish to receive dividend / interest directly into your bank account given below through ECS?	☐ Yes	☐ No		
Account to be operated through Power of Attorney (POA)	☐ Yes	☐ No		
Account Statement Requirement	☐ Daily	☐ Weekly	☐ Fortnightly	☐ Monthly

ADDITIONAL DETAILS

SMS Alert Facility	☒ Yes	Mobile No.	☐ No
Refer to Terms & Conditions given as Annexure-A			
	Yes, If yes, please contact your DP for details [Facility through, CDSL's website : www.cdslindia.com wherein a BO can view his ISIN balances, transactions and value of the portfolio online]		☐ No

DETAILS OF GUARDIAN (If Applicant is Minor)

Title																									
First Name																									
Middle Name																									
Last Name																									
Relationship with the Applicant																									
City													Pin Code												
State													Country												
Telephone No.													Fax No.												
PAN													Mobile												
E-mail ID																									

I/We have read the terms & conditions, DP-BO agreement and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I/We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP and change(s) in the details / Particulars mentioned by / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

INTRODUCTION (By an existing account holder)

DP-ID										Client ID									
-------	--	--	--	--	--	--	--	--	--	-----------	--	--	--	--	--	--	--	--	--

Client Name _____

Client Introduced by

☐ Employee

☐ Authorised Person

☐ Remiser

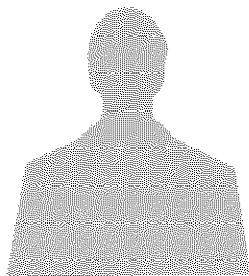
Name _____

Signature 

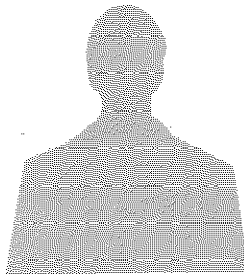
First Holder / Authorised Signatory

Second Holder / Authorised Signatory

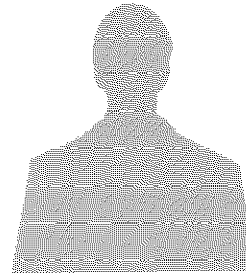
Third Holder / Authorised Signatory



Please sign across the photograph



Please sign across the photograph



Please sign across the photograph

(Signature should be preferably in black ink) [In case of minor holder, photograph of guardian has to be affixed along with the minor's photograph]

Name

Designation

Signature

First Holder				 5
Second Joint Holder				
Third Joint Holder				

Instructions to the Applicants/BOs for account opening :

- Signature can be in English or Hindi or any of the other languages contained in the 8th Schedule of the Constitution of India. Thumb impressions and signatures other than the above mentioned languages must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal.
- Signatures should be preferably in black ink.
- Details of the Names, Address, Telephone Number(s), etc., of the Magistrate/Notary Public/Special Executive Magistrate are to be provided in case of attestation done by them.
- In case of additional signatures (for accounts other than individuals), separate annexure should be attached to the account opening form.
- In case of applications containing a Power of Attorney, the relevant Power of Attorney or the self-certified copy thereof, must be lodged along with the application.
- All correspondence/queries shall be addressed to the first/sole applicant.
- Strike off whichever option, in the account opening form, is not applicable.



RAJGUL SECURITIES PRIVATE LIMITED

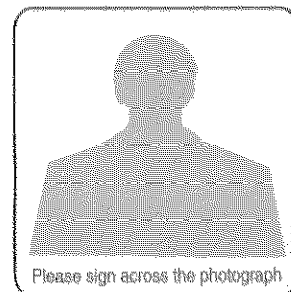
DP : Central Depository Services (India) Ltd.

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NOMINATION FORM

Dear Sir / Madam,

I/We the sole holder/Joint holders/Guardian (in case of minor) hereby declare that :

☐ I/We do not wish to nominate any one for this demat account.

[Strike out what is not applicable] [Signature of all account holders should be obtained on this form]

☐ I/We nominate the following person who is entitled to receive security balances lying in my/own account, particulars whereof are given below, in the event of the death of the Sole holder or the death of all the Joint Holders.

DP ID		Client ID	
Name of First Holder			
Name of Second Holder			
Name of Third Holder			
Nominee Details			
First Name	Middle Name	Last Name	
Address			
City	State	Country	Pin Code
Telephone No.	Fax No.		
E-mail ID			
Relationship with BO (if any)	Date of Birth (if nominee is a minor)		D D M M Y Y Y Y
As the nominee is a minor as on date, I/We appoint following person to act as Guardian:			
First Name	Middle Name	Last Name	
Address			
City	State	Country	Pin Code
Age			

Signature: Nominee/Guardian (In case of Minor)

To receive the securities in this account on behalf of the nominee in the event of the death of the Sole holder/all joint holders. This nomination is in accordance with the section 109 A of the Companies Act, 1956, and shall supersede and prior nomination made by me/us and also any testamentary document executed by me/us.

Place Date D D M M Y Y Y Y

	First Holder	Second Holder	Third Holder
Specimen Signature			

Note : 1. Two witness shall attest signature(s) Thumb Impression(s) 2. In case of joint holders all holders must sign).

3. Only Individual / Individuals can nominate an individual as nominee.

Details of the Witness (Signature of Two Witness)

	First Witness	Second Witness
Names of Witness		
Address of Witness		
Signature of Witness		

To be Filled by DP

Nomination accepted and registered vide

For Rajgul Securities Private Limited

Registration No. _____ date _____

(Authorised Signatory)



RAJGUL SECURITIES PRIVATE LIMITED

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Annexure-A

Terms and Conditions-Cum-Registration/Modification Form for receiving SMS Alerts from CDSL

Definitions :

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise :

- "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
- "DP" means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
- "BO" means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
- SMS means "Short Messaging Service"
- "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
- "Service Provider" means a cellular service provider(s) with whom the depository has entered/will be entering into an arrangement for providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability :

- The service will be provided to the BO at his/her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period/ indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
- The service is currently available to the BOs who are residing in India.
- The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
- In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration/modification.
- The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts :

- The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and intimated by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
- The BO acknowledges that the alerts will be received only if the mobile phone is 'ON' and in mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get/get after delay any alerts sent during such period.
- The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
- The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and susceptible to error, omission and/or inaccuracy. In case

the BO observes any error in the information provided in the alert, the BO shall inform the depository and/or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/suffered by the BO on account of opting to avail SMS alerts facility.

- The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
- The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to/transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.
- The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
- The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
- If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

Fees :

Depository reserves the right to charge such fee from time to time as it deems fit for providing this service to the BO.

Disclaimer :

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warrant the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The depository will not be liable for any unauthorized use or access to the information and/or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/misuse of such information by any third person.

Liability and Indemnity :

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnify the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of service by the BO.

Amendments :

The depository may amend the terms and conditions at any time with or without giving any prior notice to the Bos. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction

Providing the service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. I/We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/We further undertake to pay fee/charges as may be levied by the depository from time to time.

I/We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the Bos would be required to take up the matter with their DP

I/We am/are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

DP ID	1	2	0	6	9	8	0	0	Client ID										
-------	---	---	---	---	---	---	---	---	-----------	--	--	--	--	--	--	--	--	--	--

First Holder's Name : _____

Second Holder's Name : _____

Third Holder's Name : _____

Mobile No. on which messages are to be sent

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

(Please write only the mobile number without prefixing country code or zero)

The Mobile Number is registered in the name of : _____

E-mail Id : _____

(Please write only ONE valid email id on which communication; if any, is to be sent)

First Holder Signature _____ Second Holder Signature _____ Third Holder Signature _____

Place : _____ IN CASE OF JOINT HOLDERS, ALL JOINT HOLDERS MUST SIGN.
Please do not use correction fluid, all cuttings must be attested by all the joint holder(s).

AGREEMENT BETWEEN PARTICIPANT AND A PERSON SEEKING TO OPEN A BENEFICIAL OWNER'S ACCOUNT

This Agreement made and entered into at.....this.....day of.....between Rajgul Securities Pvt. Ltd. a company incorporated under the Companies Act, 1956 having its registered office at 474-475, Aggarwal Millennium Tower-II, Netaji Subhash Place, Pitampura, Delhi-110034, hereinafter called, "the Participant" of the One Part; AND

1.
Having his/its office/registered office/address at.....
2.
Having his/its office/registered office/address at.....
3.
Having his/its office/registered office/address at.....

hereinafter called "the Beneficial Owner" of the Other Part.

WHEREAS the Beneficial Owner is desirous of opening the Beneficial Owner's account with the Participant and the Participant has agreed to open an account in the name of the Beneficial Owner and render services to the Beneficial Owner as a Participant, on the terms and conditions recorded herein below.

NOW THIS AGREEMENT WITNESS AND IT IS HEREBY AGREED BY AND BETWEEN THE PARTIES HERETO AS FOLLOWS:

General Clause

1. The parties hereto agree to abide by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Bye Laws and Operating Instructions issued by CDSL from time to time in the same manner and to the same extent as if the same were set out herein and formed part of this Agreement.

Fees, Charges and deposits

1. The Participant shall be entitled to charge or revise the fees, charges or deposits from time to time provided however that no increase therein shall be effected by the Participant unless the Participant shall have given at least one month notice in writing to the Beneficial Owner in that behalf. In case the Beneficial Owner committing a default in the payment of any such amount payable to the Participant on their respective due dates or within 15 days of the same being demanded (where no such due date is specified), the Participant shall be entitled to charge interest on the amount remaining outstanding or unpaid not exceeding 18% per annum or part thereof. On such continued default, the Participant after giving two days notice to the Beneficial Owner shall have a right to stop processing of instructions of Beneficial Owners till such time he makes the payment along with interest, if any.

Statement of Account

3. 3.1 The Participant shall furnish to the Beneficial Owner a statement of his/its account at the end of every month if there has been even a single entry or transaction during that month, and in any event once at the end of each quarter. The statement of accounts to be provided to the Beneficial Owner under this Agreement shall be in the form specified in Operating Instructions or as communicated by CDSL from time to time. The Participant shall furnish such statements at such shorter periods as may be required by the Beneficial Owner on payment of such charges by the Beneficial Owner as may be specified by the Participant.
- 3.2 It is agreed that if a Participant is providing the services of issuing the statement of accounts in an electronic mode and if the Beneficial Owner is desirous of availing such services from the Participant, then the Participant will furnish to the Beneficial Owner the statement of accounts under its digital signature, as governed under the Information Technology Act, 2000. Provided however that in the event of the Beneficial Owner requiring a physical copy of the statement of accounts, despite receiving the same in the electronic mode, then irrespective of having forwarded the same via electronic mode, the Participant shall be obliged to provide a physical copy thereof to the BO.
- 3.3 It is agreed and understood between the parties that if the Participant does not have the facility of providing the statement of account in the electronic mode, then the Participant shall be obliged to forward the statement of account only in physical

form.

Beneficial Owner shall intimate change of particulars

4. The Participant shall not be liable or responsible for any loss that may be caused to the Beneficial Owner by reason of his/its failure to intimate change in the particulars furnished to the Participant from time to time, unless notified by the Beneficial Owner.

Depository not liable for claims against Beneficial Owner

5. CDSL shall not be liable to the Beneficial Owner in any manner towards losses, liabilities and/or expenses arising from the claims of third parties or for any fees, charges, taxes, duties, levies or penalties levied, imposed or demanded by any Central, State, statutory or revenue authority in respect of securities credited to the Beneficial Owner's account.

Authorized Representative

6. Where the Beneficial Owner is a body corporate or a legal entity, it shall, simultaneously with the execution of the Agreement furnish to the Participant, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Closure of Account

7. The Beneficial Owner, may, at any time terminate this Agreement by calling upon the Participant to close his/its account with the Participant in the manner and on the terms and conditions set out in the Bye Laws and the procedure laid down in the Operating Instructions. In the event of termination of this Agreement the Beneficial Owner shall either get the securities transferred to some other account or get the same rematerialized.

Stamp Duty

8. Any stamp duty (including interest or penalty levied thereon) payable on the Agreement and/or on any deed, document or writing executed in pursuance hereof between the parties hereto shall be borne and paid by the Beneficial Owner.

Force Majeure

9. Notwithstanding anything contained herein or in the Bye Laws, neither party hereto shall be liable to indemnify or compensate the other for any breach, non-performance or delay in performance of any obligations under the Agreement or for any harm, loss, damage or injury caused to the other due to causes reasonably beyond its control including but not limited to tide, storm, cyclone, flood, lightning, earthquake, fire, blast, explosion or any other act of God, war, rebellion, revolution, insurrection, embargo or sanction, blockade, riot, civil commotion, labour action or unrest including strike, lock-out or boycott, interruption of failure of any utility service, enemy action, criminal conspiracy, act of terrorism or vandalism, sabotage, or intrusion, or any other irresistible force or compulsion.

Service of Notice

10. Any notice or communication required to be given under the Agreement shall not be binding unless the same is in writing and shall have been served by delivering the same at the address set out hereinabove against a written acknowledgement of receipt thereof or by sending the same by pre-paid registered post at the aforesaid address or transmitting the same by facsimile transmission, electronic mail or electronic data transfer at number or address that shall have been previously specified by the party to be notified. Notice given by personal delivery shall be deemed to be given at the time of delivery. Notice given by post in accordance with this clause shall be deemed to be given at the commencement of business of the recipient of the notice on the third working day next following its posting. Notice sent by facsimile transmission, electronic mail or electronic data transfer shall be deemed to be given at the time of its actual transmission.

Arbitration

11. The parties hereto shall, in respect of all disputes and differences that may arise between them, abide by the provisions relating to an arbitration and conciliation specified under the Bye Laws.

Jurisdiction

12. The parties hereto agree to submit to the exclusive jurisdiction of the courts in New Delhi
- Governing Law
13. The Agreement shall be governed by and construed in accordance with the laws in force in India.

IN WITNESS WHEREOF the parties hereto have hereunto set and subscribed their respective hands/seals to this Agreement in duplicate on the day, month, year and place first hereinabove mentioned.

Signed and delivered by Client**

First Holder Signature : 
Second Holder Signature : 
Third Holder Signature : 

Signed and delivered by Participant :

For Rajgul Securities Pvt. Ltd.

Authorised Signatories

Place :

Date :

Witness

Signature :
Name :
Address :

Witness

Signature :
Name :
Address :

*Please stamp & Sign in case of Non-Individuals Account. **In case of Joint holding, all joint holders must sign.



RAJGUL SECURITIES PRIVATE LIMITED

DP : Central Depository Services (India) Ltd.

SEBI Regn. No. IN-DP-CDSL-609-2011

CDSL DP ID 12069800

Regd. Off. : 474-475, Aggarwal Millennium Tower-II, Netaji Subhash Place, Pitampura, Delhi-110034 Ph. : 91-11-47666333 Fax : 91-11-47092805

E-mail : askus@rajgul.com Website : www.rajgul.com



Electronic Access to Securities Information and execution of Secured transaction (easiest) Registration Form - Beneficial Owner (BO)

Name of the Beneficial Owner(s)	
Beneficial Owner ID	1 2 0 6 9 8 0 0
Login ID	
DP Name	Rajgul Securities Private Limited
DP ID	
DP Address	474-475, Aggarwal Millennium Tower-II, Netaji Subhash Place, Pitampura, Delhi-34
Email Address of the BO	
Tel. No.	
Mobile No.	
I/We would like to register above mentioned account for the easiest service. I/We am/are aware that easiest service is available to me/us which only enables me/us to view the security balances lying in the said accounts. I/We hereby agree to the terms and conditions we have read earlier for availing the said	
Date	Place
Signature(s)	
First Holder Signature	Second Holder Signature
(To be filled up by the DP)	
This is to certify that	
1. _____	
2. _____	
3. _____	
are maintaining BO A/c no. _____ with us. We have verified the signatures of the said account holders and they match with the specimen signatures as per our records.	
Date	Place
Signature (Authorised Signatory & Stamp of DP)	

- BOs are advised to access easiest from their own PC, as third party may contain software to reveal password.
- Change your password at regular intervals.
- k) If a BO forgets his/her password for easiest, it can request for a change in the password by following the 'Forgot your Password' procedure. (The existing contact would be valid after the Replacement/Change of password.

Part B

Terms and Conditions

- Facilities through easiest (Electronic Access of Securities Information and execution of secured transaction) easiest provides as BO a facility to enquire about the balances in their Demat Account, details about transactions and other services, which CDSL may decide to provide from time to time at its absolute discretion. The availability/non-availability of a particular service shall be advised either through email, website of CDSL, or written communication.
- Definition : In this document, the following words and phrases shall have the meaning set opposite them unless the context indicates otherwise :
 - CDSL : Means Central Depository Service (India) Limited, a company incorporated under the Companies Act, 1956 and registered under the Depositories Act 1996 with Securities and Exchange Board of India.
 - Beneficial Owner : Means an individual/corporate/RUF, etc. who has opened a Demat account with a Depository Participant of CDSL.
 - easiest (Electronic Access of Securities Information and execution of secured transaction) : refers to the trade name of CDSL's internet services which enables a BO to enquire about the balances in their Demat Account, details about transactions and other services, which CDSL may decide to provide from time to time at its absolute discretion.
 - Internet : refers to a Global network of inter connected Computers network, each using transmission control protocol/internet protocol or any combination thereof or such other standard network inter connection protocols as is used to transmit data that is directly or indirectly delivered to a Computer.
 - Subscriber : means a BO identified and duly registered as a subscriber to the easiest service.
 - Personal Information : refers to the information about the Demat Account that is stored on the records of CDSL and may be retrieved in perceivable form.
 - Terms used but not defined herein shall have the meaning assigned to them in the CDSL Bylaws.
- Mailing Address : All correspondence/delivery by CDSL shall only be made at address and/or e-mail address as registered with CDSL.
- Liability : CDSL shall not be liable to BO for any transactions occurring through the use of easiest service and the BO hereby indemnifies and keeps indemnified CDSL against any action, suit, proceeding initiated against it or any loss, cost of damage incurred by it as a result thereof. CDSL shall under no circumstance shall be liable to the BO if the access is not available in the desired manner for reasons including but not limited to natural calamities, legal restraints, faults in the telecommunication network or network failure or any other reason beyond the control of CDSL. Under no circumstances shall CDSL be liable for damages whatsoever whether such damages are direct, indirect, incidental consequential and irrespective whether any claim is based on loss of revenue, interruption of business, or any loss of any nature and whether caused by the BO or any third person, direct or indirect use of the easiest service shall render the BO liable for payment of pecuniary charges (fine) as decided by CDSL and will result in suspension/termination of the access to the easiest service.
- Disclosure of Personal Information : The BO agrees that CDSL or its agents may hold and process its Personal Information on computer or otherwise in connection with easiest as well as for statistical analysis. The BO also agrees the CDSL may disclose, in strict confidence, to other institutions, such Personal Information as may be reasonably necessary for reasons inclusive of but not limited to the following :
 - To the DP where the BO is having his/her demat account
 - For participation in any telecommunication network
 - In compliance with a legal directive &
 - For fraud prevention purposes, etc.
- Proprietary Rights : The BO acknowledges that the software underlying easiest as well as other internet related software required for accessing easiest is the sole copyright of the respective vendors. The permission given by CDSL to access easiest will not convey and proprietary or ownership rights in the above software. The BO shall not attempt to modify, translate, disassemble, decompile or reverse engineer the software underlying easiest or create any derivative product based on such software.
- Change of Terms and Conditions : CDSL has the absolute discretion to amend, alter, vary or supplement any of the terms and conditions at any time and will endeavor to give prior notice of fifteen days whenever feasible for such changes. CDSL may introduce new services within easiest from time to time. The existence and availability of the new service will be notified to the BO as and when they are made available. The changed terms and conditions applicable to the new features in easiest service shall be communicated to the BO by using these new services. The BO agrees to be bound by the revised terms and conditions applicable.
- Non-Transferability : The right of access to easiest to a BO is not transferable under any circumstance and shall be available by the registered BO only.
- Termination of easiest Service : The BO may request for termination of the easiest service any time by giving a written notice of at least 15 days of CDSL. CDSL will terminate the easiest service anytime provided the BO is given reasonable notice under the circumstances. The closure of the BO's Demat Account of the BO will automatically terminate the easiest service. CDSL may suspend or terminate easiest service without prior notice if the BO has breached any of the terms and conditions or CDSL's terms of the demat, bankruptcy or lack of legal capacity of the BO.
- Notices : CDSL and the BO may give notices in the following manner under these Terms and Conditions :
 - In writing by delivering them by hand or by sending them by post to the last address given by the BO and in the case of CDSL to the address mentioned below :
Central Depository Services (India) Ltd. (CDSL)
17th Floor, Phoenix Mills Building Tower,
Dale Street, Mumbai-400001.
 - In addition, CDSL may also publish notices of general nature, which are applicable to all BOs using easiest on its website. Such notices will have the same effect as if the notice was served individually to each BO.
- Governing Law : These terms and conditions and/or the use of the services provided through easiest shall be governed by the laws of the Republic of India. The BO and CDSL agree to submit themselves to the exclusive jurisdiction of the Courts located in Mumbai, India as regards any claims or matters arising under these terms and conditions. Any dispute or difference arising between the BO and CDSL shall be settled by mutual consultation / discussion, failing which the same shall be referred to Arbitration. The Arbitration proceedings shall be governed and conducted in accordance with the Indian Arbitration and Conciliation Act, 1996 and that the Arbitration proceedings shall take place in Mumbai. CDSL accepts no liability whatsoever, direct or indirect, for non-compliance with the laws of any country other than the Republic of India. The mere fact that the easiest service can be accessed through internet by a BO in a country other than India shall not be interpreted to imply that the laws of the said country govern these terms and conditions.
- General : The clause headings in this agreement are only for convenience and do not affect the meaning of the relative clauses. The BO shall not assign this agreement to anybody else. CDSL may subcontract and employ agents to carry out any of its obligations under this agreement.
- Disclaimer : All information provided in easiest is obtained by CDSL from sources believed the CDSL to be accurate and reliable. Because of the possibility of human and technical errors as well as other factors, CDSL is not responsible for any errors or omissions. The development of the products and services of CDSL is a continuous process and published information may not be up to date. The formats, updates frequency and retention period the information will be decided at the sole discretion of CDSL. It is important to check the current position with concerned Depository Participant. All information is provided on 'as is' basis without warranty of any kind. CDSL makes no representation and disclaim all express, implied and statutory warranties of any kind to the BO and/or third party including warranties as to accuracy, completeness, timeliness, non-repudiation or fitness of the information for any particular purpose. All proprietary rights in the information received shall remain the property of CDSL. reproduction, redistribution and transmission of any information contained on the web site is strictly prohibited. Access to his web site is in confirmation the BO has

Part A

- (Application : The application for availing of the easiest service and the registration thereof shall be made in physical form (i.e., by a hard copy). The acceptance or acknowledgement of receipt of the application by CDSL does not automatically imply acceptance of the application for availing easiest service. CDSL shall be entitled as its sole discretion to either accept or reject an application. CDSL will from time to time advise about the internet Software (such as Browser), required for using easiest. There will be no obligation on CDSL to support all versions of the internet Software.
- Registration Procedure :
 - In order to get registered to easiest a BO shall provide certain information like BO's login ID, Email address, Tel No., etc. at easiest.
 - The Login ID as allotted would be used by BO for accessing easiest. The login ID would be accepted if the same is not already registered with easiest.
 - After filling up the necessary details at easiest, BO shall print and sign the Registration Form. The Registration Form should be compulsorily signed by all the account holders, in case of joint accounts.
 - The BO shall submit the Registration Form to Depository Participant (DP).
 - If details and signatures on the Registration Form are found in order, the login ID of the BO would be activated to access easiest.
 - On activation a password would be generated for the login ID, the password would be mailed to the Email ID of the BO as mentioned in the BO registration Form. For the purpose of security it is advised that BO should provide a exclusive Email ID which is accessed by him only. CDSL shall not be responsible for leakage of password in case the Email ID is accessed by person other than the BO.
 - On receiving password a BO can avail easiest service by accessing the internet site <http://www.cdindia.com>
 - A BO is required to enter the login ID and Password.
 - If a BO is logging into easiest for the first time, he/she to change the password immediately.
 - BO is requested to take following measures in order to make easiest more secure and safe.
 - Change the password immediately after first login.
 - Do not use conventional words for passwords.
 - Password should be minimum 8 digits containing alphanumeric characters.
 - Keep your password confidential, never reveal/share the same with anyone.
 - Do not write your password anywhere.
 - While entering the password for accessing easiest make sure that no one can see the same.
 - After accessing the easiest immediately delete all files in the folder "C:\Windows\Temporary Internet Files".

CDSL DEPOSITORY CHARGES OF RAJGUL SECURITIES PVT. LTD.

PARTICULARS		CHARGES (In Rs.)
Annual Maintenance Charges		350/- (for individual) 1000/- (other than individual)
Lifetime charges		750/-
Transaction	(Receipt) Buy	NIL
	(Delivery) Sale	15/- or 0.003% of value whichever is higher (Subject to maximum of 50/- per slip)
Dematerialisation Fee		3/- per certificate or 0.02% of value per ISIN, whichever is higher
Rematerialisation Fee		20/- per certificate or per 100 share, whichever is higher
Postage per Demat/Remat Request		50/-
Pledge (Initiative)		Rs 50/- per ISIN or 0.02% of value per ISIN, whichever is higher
Pledge (Closure)		25/- per ISIN
Easiest		100/- per quarter
Advice Book Cheque (20 leafs)		20/-
Additional Statement		10/- per page
		25/- postage charges
Documentation Charges		As per Actuals
Securities Borrowing		Rs. 40/- per instruction or 0.02% of value per ISIN, whichever is higher

Terms & Conditions

1. Clients will be required to pay Rs. 500/- (for individual) & Rs. 1200/- (other than individual) upfront for each demat account which will be adjusted towards annual Maintenance Charges and depository charges. Client will be required to replenish the balance immediately when it falls below Rs. 100/- (upfront charges for minor A/c shall be Rs. 2000/-)
2. DP charges of Broking Clients will be debited to their Trading accounts on daily/weekly/monthly basis.
3. All charges are payable on monthly basis and delayed payments will be liable for interest @ 2% P.M
4. Rejection charges on failure of delivery Rs. 50/- per instruction.
5. Charges/service standards are subject to revision at sole discretion of Rajgul Securities Pvt. Ltd. and as informed by communication sent by ordinary post/Email.
6. No refund of account opening charges would be given if the account could not be opened due to lack of desired co-operation from the client.
7. Management reserves the right to freeze, discontinue or suspend any account if required.
8. Taxes extra as applicable.

10

First Holder Signature

Second Holder Signature

Third Holder Signature

THIS POWER OF ATTORNEY is made on the date and place as mentioned in the Schedule hereunder by the persons mentioned in the Schedule under title "Bank Account Holders" (herein after referred to as "the Bank Account Holders") and the persons mentioned in the Schedule under title "Demat Account Holders" (herein after referred to as "the Demat Account Holders") IN FAVOUR OF Rajgul Securities Pvt. Ltd. a company incorporated under the companies Act, 1956 having its registered office at 474-475, Aggarwal Millennium Tower-II, Netaji Subhash Place, Pitampura-110034, (Hereinafter referred to as "Rajgul") which expression unless repugnant to the context thereof shall mean and include its successors and assigns acting through its directors or such other persons as may be authorized by the board of directors of "Rajgul" in this regard.

WHEREAS

- The person mentioned in the Schedule under title "Client Details" (hereinafter referred to as "the Client") has opened account/applied to open an account with "Rajgul"; and
- The Bank Account Holders hold bank account/s with the bank ("the said Bank"), and bearing bank account number/s as mentioned in the Schedule hereunder under title "Bank Account Details" (herein after referred to as "the Bank Account"), and
- The Demat Account Holders hold Demat account/s with "Rajgul" a Depository Participant ("Rajgul") details whereof are mentioned in the Schedule hereunder under title "Demat Account Details" (hereinafter referred to as "the Demat Account"); and
- The Client is also the First Holder/Sole Holder of the Bank Account, and the Demat Account; and
- To facilitate proper execution of the Client's transactions including but not limited to transactions for:
 - Operate and maintain my BO account no. _____ with Rajgul Securities (P) Ltd. (Name of the Depository Participant) bearing DP-ID 12066600 in Central Depository Services (India) Ltd. (CDSL).
 - Issue instructions to the said Depository Participant for transfer dematerialization, rematerialisation, or other transactions including pledge, hypothecation, freezing, lending, borrowing and/or any other service/facility that may be extended by CDSL from time to time in respect of the securities held in the said account and/or securities to which I/we are/are or may be entitled and for that purpose, to sign and execute Dematerialization Request Forms (DRFs), Rematerialisation Request Form (RRFs), Delivery Instruction Slips (DIS) and other forms and writings as may be prescribed by CDSL.
 - Purchase or redemption or sale of shares, securities, stocks, bonds, debentures, mutual fund units, units of any collective investment scheme or any other security or financial instrument; and
 - Application for any offer or public issue of shares, securities, stocks, bonds, debentures, mutual fund units, units of any collective investment scheme, any other security or financial instrument; and
 - Purchase or sale of derivatives instrument including but not limited to futures and options; and
 - To tender securities in my/our behalf in any buy back or open offers made by the issuers of such securities;
 - Purchase/sale, investment, subscription of Post Office Deposits, Life Insurance, General Insurance, Bonds issued by Reserve Bank of India, Government of India Bonds, State Government Bonds, Bonds issued by State/Central Government owned corporations or Enterprises, Commodities or commodities derivatives or any other security or financial instrument (hereinafter collectively referred to as "the Purpose") and to give effect to all the terms of the Agreements entered into/to be entered into between "Rajgul" and the Client and other documents and/or terms & conditions as applicable from time to time with respect thereto Agreements of all types and other documents and/or terms & conditions as applicable from time to time collectively called "the Agreement", the Bank Account Holders and the Demat Account Holders are desirous of constituting and appointing "Rajgul" as their lawfully constituted attorney and confer upon its the powers herein after stated.

NOW KNOW YOU ALL AND THIS POWER OF ATTORNEY WITNESS That I/we, the Bank Account Holders and the Demat Account Holders Both hereby nominate, appoint and constitute "Rajgul", which expression shall unless repugnant to the context thereof mean and include its successors and assigns, acting through its directors or such other persons as may be appointed by the board of directors of "Rajgul" in this regard from time to time as the true and lawful attorney to do the following act, deeds, and things for and on my/our behalf; namely:

- To operate, block and debit the Bank Account to meet all margin / settlement / funds obligations in terms of the Agreements for transactions between the client and "Rajgul", done on the National Stock Exchange of India Ltd. (NSE), and the Bombay Stock Exchange Ltd. (BSE), or any other exchange(s) for the client or on behalf of the Client and to meet all funds obligations for transactions done on any exchange(s) for the client or on behalf of the Client and to give other instructions relating to the Bank Account for any matter concerning the Purpose including debiting the Bank Account towards charges for account opening and availing various facilities/services offered by "Rajgul" and giving instructions regarding the prioritizing of instructions received by the said Bank with respect to the Bank Account.
- To operate and debit the Demat Account to meet all margin/settlement obligations in terms of the Agreements for transactions between the client and "Rajgul", done on the National Stock Exchange of India Ltd. (NSE), and the Bombay Stock Exchange Ltd. (BSE), or any other exchange(s) for the client or on behalf of the Client or in Client's name and to instruct the aforesaid Depository Participant to debit securities and/or transfer securities from the Demat Account for the purpose of delivering the same in respect of securities sold by the client or for margin purposes and to meet Margin obligations for transactions done on any Exchange(s) for the client.
- To credit the Bank Account, and the Demat Account with monies/securities due to me/us;
- To issue instructions to debit my/our Bank Account, towards monies/fees/charges etc. due to "Rajgul" or third parties and to discharge all funds obligations in this regard on my/our behalf by virtue of I/we using/subscribing to any of the facilities/services provided by "Crisil" and/or by any other service provider(s);
- To invest and redeem units in the MF Account
- And if required for the above purpose, to make applications issue instructions for creation of, lien and/or charge on funds and or to create lien or pledge on the securities/units owned or bought by me/us to the purpose of margin by me/us for the trades done or to be done by me/us through "Rajgul".
- To do all such acts, deeds and things as may be necessary for or incidental to the powers granted hereby and/or the above mentioned purpose including adjusting balances/amounts;
- To retain all originals of documents executed by me/us or by the constituted attorney on my/our behalf

First Holder Name: _____ Signature: _____
 Second Holders Name (Bank): _____ Signature: _____
 Second Holders Name (Demat): _____ Signature: _____
 Third Holders Name (Demat): _____ Signature: _____

And I/we hereby agree to confirm and ratify all acts, matters, things or deeds done by the said attorney on my/our behalf or in my/our name pursuant to the powers and authority granted hereto as if the same were done by me/us;

And I/we hereby agree and confirm that any instructions issued by the said attorney in my/our name or on my/our behalf to the said Bank or "Rajgul" shall be binding on the said Bank/Rajgul as the case may be, who shall be fully entitled to act on the same;

I/We further agree and confirm that the powers and authorities conferred by this Power of Attorney shall continue until I/We have revoked this Power of Attorney, without giving any notice to the Depository Participant.

In case of revocation of this power of attorney, "Rajgul" may terminate the internet trading facility, if any, offered to me/us.

Client Details :

Name of the Client										
Client Code	☐ CDSL									
Address										

Bank Account Details :

First Holder										
Second Holder										
Bank & Branch										
Account No.										

Demat Account Details :

First Holder										
Second Holder										
Third Holder										
DP Name	Rajgul Securities Pvt. Ltd.									
DP ID	1	2	0	6	9	8	0	0	Client ID	

Date: _____ Place: _____

IN WITNESS WHEREOF I/We have hereunto set and subscribed our respective hands on the day and date as mentioned in the schedule.

Sr.	Holder	Name	Signature
1	First Holder (For Bank & Demat)		
2	Second Holder (For Bank)		
3	Second Holder (For Demat)		
4	Third Holder (For Demat)		

Witness:

Name: _____ For Rajgul Securities (P) Ltd.

Address: _____
 Signature: _____ Authorized Signatory
 Date: _____ Place: _____

AUTHORISATION FOR PROVIDING DP TRANSACTION STATEMENT BY EMAIL OR ON WEBSITE

To
RAJGUL SECURITIES PRIVATE LIMITED
 Regd. Off. : 474-475, Aggarwal Millennium Tower-II, Netaji Subhash Place, Pitampura, Delhi-110034

Re : Beneficial Owner (BO) Account No.

1	2	0	6	9	8	0	0								
---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--

- Dear Sir,
- As per clause 3 of DP Client agreement you are required to provide me/us transaction statement including statement of account.
- I/We understand that, I/we have the option to receive transaction statement by email or on website. in pursance of the same, I/We here by opt for receipt of transaction statement (including but not limited to statement of account holding statement or any other communication) through email or on website.
 E-mail ID for above said purpose is as per the details given under the client details or is
 A.
 B.
 - I/we is/are aware that I/we will not receive the transaction statement in paper form.
 - I/we will take all the necessary means to ensure confidentiality and secrecy of the login name and password of the internet/email account.
 - I/we, is/are aware that the transaction statement may be accessed by other entities in case the confidentiality/secrecy of the login name and password is compromised, in which case, I/we shall not hold you responsible in any manner.
 - I/we shall immediately inform the DP about change in email address if any, in case transaction statement are send by email.
 - I/We/you shall have the right to terminate this service by giving a 10 days written notice in advance.

Thanking you,

Yours faithfully


 First Holder Signature

 Name


 Second Holder Signature

 Name


 Third Holder Signature

 Name

Place :

Date :

AUTHORISATION OF DEBITING TRADING A/C IN CASE OF DEBIT IN DP A/C

To
RAJGUL SECURITIES PRIVATE LIMITED
 Regd. Off. : 474-475, Aggarwal Millennium Tower-II, Netaji Subhash Place, Pitampura, Delhi-110034

Dear Sir,

Sub : Authorisation of debiting Trading a/c in case of debit in DP a/c.
 As a result the clients are required to note that the broker reserves the right to debit the trading account if there is any debit balance in the DP a/c.

Thanking you

Yours Faithfully,


 First Holder Signature

 Name


 Second Holder Signature

 Name


 Third Holder Signature

 Name

Place :

Date :

HUF Declaration and Consent Letter (NOC)

To,
RAJGUL SECURITIES PRIVATE LIMITED
 Regd. Off. : 474-475, Aggarwal Millennium Tower-II, Netaji Subhash Place, Pitampura, Delhi-110034
 Dear Sir/Madam,

Date:

Sub : Declaration of Coparcener(s)

I/We hereby declare that I/we are coparceners of the HUF _____ with _____ as "Karta"/ I/We have opened Demat account with you under HUF category. I/We hereby declare that I/We am/are authorizing the said Karta to execute the transactions and to do all such needful acts/deeds in our Demat account. I/We further authorize the Karta to appoint any other attorney and authorize him/her/it to act on behalf of the HUF and Coparcener(s) with regard to the operations of the said Demat account.

S. No.	NAME OF THE CO-PARCENERS (IN BLOCK LETTER)	Date of Birth	Sex	Relationship with Karta	Sign
1.			☐ Male ☐ Female		
2.			☐ Male ☐ Female		
3.			☐ Male ☐ Female		
4.			☐ Male ☐ Female		
5.			☐ Male ☐ Female		
6.			☐ Male ☐ Female		

I/We hereby state that the details mentioned as above are true and any change in them would be intimated to you in writing.

Thanking you,

Yours faithfully,

For.....(HUF)

BOARD RESOLUTION (Format) (On the Company letterhead)

CERTIFIED TRUE COPY OF AN EXTRACT FROM THE MINUTES OF A MEETING OF THE BOARD OF DIRECTORS OF _____ LIMITED DULY CONVENED AND AT WHICH A PROPER QUORUM WAS PRESENT HELD AT THE REGISTERED OFFICE OF THE COMPANY AT _____ (ADDRESS) ON _____ DAY _____ TH AT _____ HOURS

We hereby certify that the following resolution of the Board of directors of _____ LTD was passed at the Meeting of the Board held on _____ and has been duly recorded in the Minutes Book of the said Company.
 "RESOLVED THAT a Clearing Member/Beneficiary account for the company be opened with Rajgul Securities (P) Ltd. (Depository Participant of CDSL) in the name and style of _____ (name of the co.)
 LIMITED" for dealing in the Depository/Electronic segment of the various Stock Exchanges and Mr. _____ Director of Company be and is hereby authorized to negotiate and finalize the terms and conditions for opening the account and completing the formalities.
 "FURTHER RESOLVED THAT Mr. _____, or Mr. _____ any one of these two directors of the company, individually be and is/are authorized to operate the account for and on behalf of the Company."
 RESOLVED THAT the company do grant in favor of Rajgul Securities (P) Ltd. a Power of Attorney limited to enabling Rajgul Securities (P) Ltd. meet payin obligations for sale transactions carried out in the account of the Company and authorize Mr. _____ Of the Company to execute, notarize and deliver of Rajgul Securities (P) Ltd., the said Power of Attorney and to do all such other things as may be necessary in the connection"
 "FURTHER RESOLVED THAT a certified copy of the said resolution be communicated to Rajgul Securities (P) Ltd. together with the specimen signatures of all the aforesaid office bearer by the Chairman of the meeting under the common seal of the company.

CERTIFIED TRUE COPY

Place : _____

Date :

For _____ LTD.

1. Mr. XXX _____

2. Mr. XXX _____

Mr. XXX _____
 (Chairman)



RAJGUL SECURITIES PRIVATE LIMITED
 DP Central Depository Services (India) Ltd.
 SEBI Regn. No. IN-DP-CDSL-609-2011
 Regd. Off. : 474-475, Aggarwal Millennium Tower-II,
 Netaji Subhash Place, Pitampura, Delhi-110034
 Ph. : 91-11-47666333 Fax : 91-11-47092805
 E-mail : askus@rajgul.com Website : www.rajgul.com

ELECTRONIC CLEARING SERVICE (DEBIT CLEARING) MANDATE FORM

1. DP A/c. No. :
2. Client Code :
3. 1st Holder Name :
 2nd Holder Name :
 3rd Holder Name :
4. Bank Name :
 A) Branch Name :
 B) 9-Digit MICR Code * : [][][][][][][][][][]
 C) Account Type : ☐ S. B. A/c (Code 10) ☐ Current A/c (Code 11)
☐ Cash Credit (Code 13)
- D) Ledger No./Folio No. : Bank Account No. :

* 9 Digit Code next to cheque no.

(Please attach Photocopy of cancelled blank Cheque issued by your bank for verification of the MICR Code)

I, hereby, declare that the particulars given are correct and complete. If the transaction is delayed or not hold the user responsible. I hereby agree to discharge the responsibility expected of me as a participant under the scheme.

Date : Signature of the Applicant(s) :

BANK CERTIFICATION (Not required if Photocopy of the cheque is enclosed).

Certified that the particulars furnished above are correct as per our records. Bank's

Stamp :

Date :

Signature of Authorised Bank Official

AUTHORISATION TO BANK FOR DEBITING THE ACCOUNT

The Branch Manager

Dear Sir,

I/We, residing at

maintain a account No.

with your branch

I/We hereby authorised the Bank to debit all types of commission / fees (Service Charges) payable by me/us and charged by Rajgul Securities (P) Ltd. Through the ECS (Debit Clearing) facility to my bank account with you. I/We undertake the sufficient balances shall be maintained by me/us, so that the right of the Bank to debit the Service Charges is not impaired. I/We hereby undertake not to revoke this authority without the written approval from the Bank.

SIGNED at (Place), this day)

of (month), (Year)

Signature Name

Signature Name

Signature Name

(A/c holder's Signature should be the same as in bank A/c. In case of joint account both the holder must sign the mandate form)



RAJGUL SECURITIES PRIVATE LIMITED
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